

APPLICATION FOR FINANCIAL ASSISTANCE

Revised 4/99

IMPORTANT: Please consult the "Instructions for Completing the Project Application" for assistance in completion of this form.

SUBDIVISION: _____ **CODE #** _____

DISTRICT NUMBER: _____ **COUNTY:** _____ **DATE:** _____

CONTACT: _____ **PHONE#:** () _____

(THE PROJECT CONTACT PERSON SHOULD BE THE INDIVIDUAL WHO WILL BE AVAILABLE DURING BUSINESS HOURS AND WHO CAN BEST ANSWER OR COORDINATE THE RESPONSE TO QUESTIONS)

FAX: () _____ **E-MAIL:** _____

PROJECT NAME: _____

SUBDIVISION TYPE

(Check Only 1)

- ___ 1. County
- ___ 2. City
- ___ 3. Township
- ___ 4. Village
- ___ 5. Water/Sanitary District
(Section 6119 or 6117 O.R.C.)

FUNDING TYPE REQUESTED

(Check All Requested & Enter Amount)

- ___ 1. Grant \$ _____
- ___ 2. Loan \$ _____
- ___ 3. Loan Assistance \$ _____

PROJECT TYPE

(Check Largest Component)

- ___ 1. Road
- ___ 2. Bridge/Culvert
- ___ 3. Water Supply
- ___ 4. Wastewater
- ___ 5. Solid Waste
- ___ 6. Stormwater

TOTAL PROJECT COST: \$ _____ **FUNDING REQUESTED:** \$ _____

DISTRICT RECOMMENDATION

To be completed by the District Committee ONLY

GRANT: \$ _____ **LOAN ASSISTANCE:** \$ _____

SCIP LOAN: \$ _____ **RATE:** _____% **TERM:** _____ yrs.

RLP LOAN: \$ _____ **RATE:** _____% **TERM:** _____ yrs.

(Check Only 1)

- ___ State Capital Improvement Program
- ___ Local Transportation Improvements Program
- ___ Small Government Program

FOR OPWC USE ONLY

PROJECT NUMBER: C _____ /C _____

Local Participation _____%

OPWC Participation _____%

Project Release Date _____

OPWC Approval _____

APPROVED FUNDING: \$ _____

Loan Interest Rate: _____%

Loan Term: _____ years

Maturity Date: _____

Date Approved: _____

SCIP Loan _____ **RLP Loan** _____

1.0 PROJECT FINANCIAL INFORMATION

1.1 PROJECT ESTIMATED COSTS:

(Round to Nearest Dollar)

| | TOTAL DOLLARS | Force Account Dollars |
|--|---------------|-----------------------------|
| a.) Basic Engineering Services: | \$ _____ .00 | _____ |
| Preliminary Design \$ _____ | | |
| Final Design \$ _____ | | |
| Bidding \$ _____ | | |
| Construction Phase \$ _____ | | |
| | | |
| Additional Engineering Services | \$ _____ .00 | _____ |
| *Identify services and costs below | | |
| | | |
| b.) Acquisition Expenses: | | |
| Land and/or Right of Way | \$ _____ .00 | _____ |
| | | |
| c.) Construction Costs: | \$ _____ .00 | _____ |
| | | |
| d.) Equipment Purchased Directly: | \$ _____ .00 | |
| | | |
| e.) Permits, Advertising, Legal: | \$ _____ .00 | |
| (Or Interest Costs for Loan Assistance Applications Only) | | |
| | | |
| f.) Construction Contingencies: | \$ _____ .00 | |
| | | |
| g.) TOTAL ESTIMATED COSTS: | \$ _____ .00 | |

*List Additional Engineering Services here:
Service:

Cost:

1.2 PROJECT FINANCIAL RESOURCES:
 (Round to Nearest Dollar and Percent)

| | | DOLLARS | % |
|-----|-----------------------------------|--------------|-------------------|
| a.) | Local In-Kind Contribution | \$ _____ .00 | _____ |
| b.) | Local Revenues | \$ _____ .00 | _____ |
| c.) | Other Public Revenues | | |
| | ODOT | \$ _____ .00 | _____ |
| | Rural Development | \$ _____ .00 | _____ |
| | OEPA | \$ _____ .00 | _____ |
| | OWDA | \$ _____ .00 | _____ |
| | CDBG | \$ _____ .00 | _____ |
| | OTHER _____ | \$ _____ .00 | _____ |
| | SUBTOTAL LOCAL RESOURCES: | \$ _____ .00 | _____ |
| d.) | OPWC Funds | | |
| | 1. Grant | \$ _____ .00 | _____ |
| | 2. Loan | \$ _____ .00 | _____ |
| | 3. Loan Assistance | \$ _____ .00 | _____ |
| | SUBTOTAL OPWC FUNDS: | \$ _____ .00 | _____ |
| e.) | TOTAL FINANCIAL RESOURCES: | \$ _____ .00 | _____ 100% |

1.3 AVAILABILITY OF LOCAL FUNDS:

Attach a statement signed by the Chief Financial Officer listed in section 5.2 certifying all local share funds required for the project will be available on or before the earliest date listed in the Project Schedule section.

ODOT PID# _____ Sale Date: _____

STATUS: (Check One)

- Traditional _____
- Local Planning Agency (LPA) _____
- State Infrastructure Bank _____

2.0 PROJECT INFORMATION

If the project is multi-jurisdictional, information must be consolidated in this section.

2.1 PROJECT NAME: _____

2.2 BRIEF PROJECT DESCRIPTION – (Sections A through C):

A: SPECIFIC LOCATION:

PROJECT ZIP CODE: _____

B. PROJECT COMPONENTS:

C. PHYSICAL DIMENSIONS:

D. DESIGN SERVICE CAPACITY:

Detail current service capacity versus proposed service level.

Road or Bridge: Current ADT _____ Year: _____ Projected ADT _____ Year: _____

Water/Wastewater: Based on monthly usage of 7,756 gallons per household, attach current rate ordinance. Current Residential Rate: \$ _____ Proposed Rate: \$ _____

Stormwater: Number of households served: _____

2.3 USEFUL LIFE/COST ESTIMATE: Project Useful Life: _____ Years.

Attach Registered Professional Engineer's statement, with original seal and signature confirming the project's useful life indicated above and estimated cost.

3.0 REPAIR/REPLACEMENT or NEW/EXPANSION:

TOTAL PORTION OF PROJECT REPAIR/REPLACEMENT \$ _____

TOTAL PORTION OF PROJECT NEW/EXPANSION \$ _____

4.0 PROJECT SCHEDULE:*

| | BEGIN DATE | END DATE |
|------------------------------------|-------------|-------------|
| 4.1 Engineering/Design: | ___/___/___ | ___/___/___ |
| 4.2 Bid Advertisement and Award: | ___/___/___ | ___/___/___ |
| 4.3 Construction: | ___/___/___ | ___/___/___ |
| 4.4 Right-of-Way/Land Acquisition: | ___/___/___ | ___/___/___ |

* Failure to meet project schedule may result in termination of agreement for approved projects. Modification of dates must be requested in writing by the CEO of record and approved by the commission once the Project Agreement has been executed. The project schedule should be planned around receiving a Project Agreement on or about July 1st.

5.0 PROJECT OFFICIALS:

5.1 CHIEF EXECUTIVE OFFICER _____
TITLE _____
STREET _____
CITY/ZIP _____
PHONE () - _____
FAX () - _____
E-MAIL _____

5.2 CHIEF FINANCIAL OFFICER _____
TITLE _____
STREET _____
CITY/ZIP _____
PHONE () - _____
FAX () - _____
E-MAIL _____

5.3 PROJECT MANAGER _____
TITLE _____
STREET _____
CITY/ZIP _____
PHONE () - _____
FAX () - _____
E-MAIL _____

Changes in Project Officials must be submitted in writing from the CEO.

6.0 ATTACHMENTS/COMPLETENESS REVIEW:

Confirm in the blocks [] below that each item listed is attached.

- [] A certified copy of the legislation by the governing body of the applicant authorizing a designated official to sign and submit this application and execute contracts. This individual should sign under 7.0, Applicant Certification, below.
- [] A certification signed by the applicant's chief financial officer stating all local share funds required for the project will be available on or before the dates listed in the Project Schedule section. If the application involves a request for loan (RLP or SCIP), a certification signed by the CFO which identifies a specific revenue source for repaying the loan also must be attached. Both certifications can be accomplished in the same letter.
- [] A registered professional engineer's detailed cost estimate and useful life statement, as required in 164-1-13, 164-1-14, and 164-1-16 of the Ohio Administrative Code. Estimates shall contain an engineer's original seal or stamp and signature.
- [] A cooperation agreement (if the project involves more than one subdivision or district) which identifies the fiscal and administrative responsibilities of each participant.
- [] Projects which include new and expansion components and potentially affect productive farmland should include a statement evaluating the potential impact. If there is a potential impact, the Governor's Executive Order 98-VII and the OPWC Farmland Preservation Review Advisory apply.
- [] Capital Improvements Report: (Required by O.R.C. Chapter 164.06 on standard form)
- [] Supporting Documentation: Materials such as additional project description, photographs, economic impact (temporary and/or full time jobs likely to be created as a result of the project), accident reports, impact on school zones, and other information to assist your district committee in ranking your project. Be sure to include supplements which may be required by your *local* District Public Works Integrating Committee.

7.0 APPLICANT CERTIFICATION:

The undersigned certifies: (1) he/she is legally authorized to request and accept financial assistance from the Ohio Public Works Commission as identified in the attached legislation; (2) to the best of his/her knowledge and belief, all representations that are part of this application are true and correct; (3) all official documents and commitments of the applicant that are part of this application have been duly authorized by the governing body of the applicant; and, (4) should the requested financial assistance be provided, that in the execution of this project, the applicant will comply with all assurances required by Ohio Law, including those involving Buy Ohio and prevailing wages.

Applicant certifies that physical construction on the project as defined in the application has NOT begun, and will not begin until a Project Agreement for this project has been executed with the Ohio Public Works Commission. Action to the contrary will result in termination of the agreement and withdrawal of Ohio Public Works Commission funding from the project.

Certifying Representative (Type or Print Name and Title)

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Original Signature/Date Signed